

## PRESS RELEASE

### Leaders in Innovation 2009 - Awards' Shortlist

London, October 9th, 2009.

Financial-i has announced the shortlist for its fourth annual Leaders in Innovation Awards, which spans more than 60 categories in global transaction banking. Full coverage of the winners will be featured in the Q4.2009 edition of Financial-i, published at the end of December.

Our Leaders in Innovation Awards identify global transaction banks and financial hardware and software vendors that in the last 12 months have excelled, in terms of innovation in business solutions, across wholesale transaction banking encompassing:

- **Treasury & FX**
- **Securities services**
- **Data management**
- **Risk management**
- **Network services**

#### **Awards methodology:**

Perhaps uniquely, the companies in this shortlist were not self-nominated but were selected solely on research conducted by financial-i's team of journalists and independent analysts. The shortlist is then passed to an advisory panel comprising analysts, consultants and market practitioners. Winners in each category are chosen based on the feedback from the panel.

#### **The criteria include:**

- Innovations in global transaction banking business solutions over the last 12 months;
- What upgrades were made to existing solutions;
- Functionality enhancements;
- Depth and breadth of functionality;
- Customer service segments;
- The ease with which customers can implement and interface with solutions;
- How solutions help companies solve common business issues  
(such as capturing real-time transaction information, reducing market data latency, combating money laundering or implementing an enterprise data management framework).

**Leaders in Innovation** is not about rewarding the banks and financial hardware and software vendors with the largest market share or the most revenues. Although some companies may be pioneers in their space, our awards recognize those companies that demonstrate an ongoing commitment to innovation.

## 1. TREASURY SERVICES, CASH MANAGEMENT & FX

### **Cash management provider Europe**

HSBC  
Deutsche Bank  
RBS  
J.P. Morgan Chase

### **Cash management provider North America**

J.P. Morgan  
Citigroup  
Bank of America Merrill Lynch  
Wells Fargo

### **Cash management provider Asia**

HSBC  
ICBC  
Standard Chartered  
ICICI

### **Cash management provider Nordic Region**

Nordea  
SEB  
Svenska Handelsbanken

### **Accounts Payable**

170 Systems  
BancTec  
Bottomline Technologies

### **Accounts Receivable**

SunGard AvantGard Receivables  
J.P. Morgan Chase Receivables Edge

### **Real-time cash reporting**

S1  
Fundtech  
ACI  
Digital Insight

### **Cash forecasting**

Oracle Crystal Ball  
S1  
Fiserv

### **Payment processing**

Equens  
TSYS  
First Data  
Dovetail Systems  
Clear2Pay  
Earthport

### **Liquidity management**

Polaris  
SmartStream TLM  
Aleri

### **Treasury management software**

Oracle TMS  
SunGard AvantGard Quantum  
Wallstreet Suite

### **ASP treasury management solution**

Thomson Reuters Treasura  
IT2  
City Financials eTC  
Wallstreet Treasury

### **Corporate purchasing cards**

Citigroup  
Bank of America  
J.P. Morgan  
US Bank

### **Online FX dealing**

FXAll  
360T/Misys  
Currenex / State Street

### **Trade finance**

Fundtech  
Surecomp  
CGI

### **Supply chain management**

Ariba  
Emptoris

### **Supply chain finance**

Syncada  
The Receivables Exchange

### **E-payments**

S1  
ACI  
Bottomline Technologies  
Clear2Pay

### **E-invoicing**

Bottomline Technologies  
Ariba  
Basware  
Transcepta

## 2. SECURITIES SERVICES

### **Securities Services Provider Europe**

Société Générale Securities Services  
Deutsche Bank  
BNP Paribas Securities Services

### **Securities Services Provider N. America**

BBH Infomediary  
State Street  
BNY Mellon

### **Securities Services Provider Asia**

HSBC Securities Services  
Standard Chartered  
RBC Dexia

### **Securities Services Provider Nordic Region**

Nordea  
Swedbank  
SEB

### **Order Management Systems**

Linedata  
BNY Convergex  
Fidessa Group

### **Execution Management Systems**

Quod Financial  
SmartTrade  
Progress Apama  
Streambase  
InfoReach  
Flextrade

### **Corporate Actions**

DTCC, SWIFT and XBRL U.S.  
XSP  
SmartStream  
Broadridge Financial Solutions

### **Derivatives Processing**

BNY Mellon  
DTCC/NYSE Euronext  
Calypso  
Murex

### **Fund Administration & Accounting**

Simcorp  
MFT  
Citigroup GTS

### **Securities Lending**

Equilend  
Data Explorer

### **Securities Outsourcing**

BNY Mellon  
BNP Paribas Securities Services  
Northern Trust

### **Brokerage Securities Processing**

Broadridge Financial Solutions  
Sungard  
Goldman Sachs Electronic Trading

### **Electronic Proxy Voting**

Broadridge Financial Solutions  
RiskMetrics

### 3. DATA MANAGEMENT

#### **Data Storage**

EMC  
GlobeOp Financial Services  
Hitachi Data Systems

#### **Enterprise Data Management**

Asset Control  
GoldenSource  
Cadis  
IBM

#### **Enterprise Data Retrieval and Analysis**

Thomson Reuters / Vhayu Technology  
Temenos  
ICAP

#### **Market Data Analysis**

Aleri (Coral8)  
ITG  
Sybase

#### **Market Data Latency**

29 West  
NYSE Technologies  
Thomson Reuters

#### **Real-time Market Data Management**

29 West  
Interactive Data  
KX Systems

#### **Market Data Aggregation**

Thomson Reuters  
Interactive Data  
Xtrakter  
Chi-Tech (ex-Cicada)

### 4. RISK MANAGEMENT

#### **Operational Risk**

SAS  
Oracle Reveleus  
Algorithmics OpenPages

#### **Enterprise Risk Management**

SAS  
Oracle Financial Services  
Sungard  
Fiserv  
Sophis  
Actimize

#### **Disaster Recovery/Business Continuity**

EMC Recoverpoint  
Sungard Availability Services  
BT Global Services

#### **Email and Instant Messaging Compliance**

Autonomy Zantaz  
Global Relay  
FaceTime

#### **Information Security**

RSA  
Thales  
Symantec

#### **Anti-money Laundering**

Oracle Financial Services  
Norkom Technologies  
Fiserv  
Actimize

#### **Digital Identity Management**

RSA  
Thales  
Sun Microsystems

#### **Exceptions & Investigations**

PegaSystems  
SmartStream  
Fiserv

#### **Regulatory reporting**

FRSGlobal  
Lombard Risk  
Financial Architects

## 5. NETWORK SERVICES

### **Voice over IP**

BT Trading Solutions  
Orange Business Services Trading Solutions  
IPC

### **IP network**

Savvis  
BT Radianz  
COLT

### **ERP**

ORACLE  
SAP  
CODA

### **Core banking**

Temenos T24  
Oracle  
Misys BankFusion

### **Grid/cloud computing**

Oracle/SUN  
Platform Computing  
IBM

### **Virtualisation**

VMWare  
Oracle  
Tibco/Data Synapse

### **IT management**

HP  
Tideway Systems  
BMC Software

### **Enterprise Application Integration**

Axway  
IBM  
Singularity

### **Service-oriented Architecture**

Tibco  
IBM  
Software AG

### **ESB**

Sonic  
Microsoft  
Oracle  
Paremus

### **Business Process Management**

Software AG  
Lombardi  
PegaSystems

### **Business Process Outsourcing**

TCS  
Infosys  
Accenture

### **Complex Event Processing**

Progress Apama  
Streambase  
Aleri

### **Business Intelligence**

SAP  
IBM/Cognos  
Microsoft

For marketing opportunities around the annual awards please contact either Mohamed Isman <mo.isman@financial-i.com> or Marc Carolissen <marc.carolissen@financial-i.com> on +44 (0)20 7415 7169

*This shortlist has been compiled based on evaluations of innovation, and as such the results are subjective. It is not implied that the results are based on empirical evidence. This does not constitute advice and no party should rely on or use any material in this survey to make, or refrain from making, any decision or take, or refrain from taking, any action. Financial-i and its employees, partners and affiliates accept no liability from any action or decisions so taken.*